



Intercounty Electric Cooperative Association

Your Touchstone Energy® Cooperative



## **RULES FOR CONDUCTING PROCEEDINGS**

### **REQUIRED BY**

## **THE PUBLIC UTILITY REGULATORY POLICIES ACT OF 1978**

Adopted by the Board of Directors of  
INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION

August 25, 2023

Prepared by

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INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION  
RULES FOR CONDUCTING PROCEEDINGS  
REQUIRED BY  
THE PUBLIC UTILITY REGULATORY POLICIES AT OF 1978

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INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION  
RULES FOR CONDUCTING PROCEEDINGS  
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## **RULE 1. DEFINITIONS**

When used in these Rules, the terms listed below shall be defined as follows:

- (a) “Board” shall mean the Board of Directors of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION.
- (b) “Filing” shall have the meaning as set forth in Rule 3.
- (c) “Formal Party” or “Formal Party of Record” shall have the meaning as set forth in Rule 4.
- (d) “Limited Appearance” shall mean an oral or written statement by a Member of his or her position and views on the issues under consideration in a proceeding governed by the Rules, in accordance with the provisions of Rule 5.
- (e) “Member” shall mean a Person who is a member of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION in accordance with the provisions of Article I of the Bylaws of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION.
- (f) “Moderator” shall mean that individual employed by INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION in accordance with the provisions of Rule 8.
- (g) “Notice of Hearing” shall mean any notice given by INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION in accordance with the provisions of Rule 2.
- (h) “Notice of Intervention” shall mean a notice filed by a Person desiring to intervene and participate as a Formal Party of Record in a proceeding governed by these Rules, in accordance with the provisions of Rule 4.
- (i) “Party” shall mean a Formal Party of Record.
- (j) “Person” shall mean any individual, corporation, cooperative, limited liability company, partnership, association or other entity.
- (k) “PURPA” shall mean the Public Utility Regulatory Policies Act of 1978, as amended.
- (l) “PURPA Identification Number” shall mean that number assigned to Persons accepted as Formal Parties of Record in accordance with the provisions of Rule 4.
- (m) “PURPA Standards” shall mean those reflected in Section 111(d) that must be considered at this time as required by the 2021 Infrastructure Investment and Jobs Act (IIJA) (Nov. 15, 2021) for promoting greater transportation electrification (Sec. 40431) and utility demand response (Sec. 40104).

- (n) "PURPA Website" shall mean that website maintained by INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION in connection with proceedings governed by these Rules, and which may be accessed at [www.ieca.coop](http://www.ieca.coop).
- (o) "Request for Information" shall mean a request sent from one Party to another Party in accordance with the provisions of Rule 6.
- (p) "Rule" shall mean a specific rule included in these Rules.
- (q) "Rules" shall mean these "Rules for Conducting Proceedings Required by the Public Utility Regulatory Policies Act of 1978 (as amended)," which were adopted by the Board of Directors of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION on August 25, 2023.
- (r) "INTERCOUNTY ELECTRIC" shall mean Intercounty Electric Cooperative Association.
- (s) "Intercounty Electric Staff" shall mean the Chief Executive Officer of Intercounty Electric and any other employees or agents of Intercounty Electric chosen or designated by the Chief Executive Officer to advise the Board as to the PURPA standards.

## **RULE 2. NOTICE OF HEARING.**

- (a) Notice of any hearings conducted by INTERCOUNTY ELECTRIC in connection with or relating to the consideration and determination of the PURPA Standards shall be provided to INTERCOUNTY ELECTRIC Members at least thirty (30) days prior to the date on which the hearing is to take place.
- (b) The Notice of Hearing referred to in this Rule shall be provided to Members by publication as a bill insert to Cooperative members and at least one newspaper of general circulation. Additionally, the notice shall be posted on INTERCOUNTY ELECTRIC's PURPA Website.
- (c) For the purpose of determining Members entitled to Notice of Hearing, the Board shall, prior to the deadline for sending such Notice of Hearing, fix a record date.
- (d) The Notice of Hearing shall include the following elements:
  - (1) A statement of the time, place and nature of the hearing;
  - (2) A statement of the legal authority pursuant to which the hearing is to be held;
  - (3) A reference to the particular PURPA standards to be considered, including a legal citation to the particular section of the statute at which such standards can be located;
  - (4) A statement identifying those Persons with a right to intervene in the hearing, including a reference to the portion of these Rules governing such intervention;
  - (5) A statement as to the ability of any Member to participate in the hearing without intervening, including a reference to the portion of these Rules governing such participation; and
  - (6) A statement that the hearing will be governed by these Rules, including a statement as to how such Rules may be obtained.

## **RULE 3. FILINGS.**

- (a) Except as otherwise provided in these Rules, any notice, application, or other document or filing required or permitted under these Rules ("Filing") shall be directed to the attention of

Dalton Clayton. Filings may be delivered in person to INTERCOUNTY ELECTRIC's headquarters during business hours. INTERCOUNTY ELECTRIC's headquarters is located at 102 Maple Avenue, Licking, Missouri 65542 and is open Monday through Friday from 8:00 am to 5:00 pm CST. Filings also may be made by mail to P.O. Box 209, Licking, MO 65542.

- (b) In addition to filing an original document with INTERCOUNTY ELECTRIC, a Person submitting a Filing (except for a written Limited Appearance pursuant to Rule 5) also shall file two (2) additional copies.
- (c) Persons submitting Filings to INTERCOUNTY ELECTRIC (except for a written Limited Appearance pursuant to Rule 5), unless they do not possess the technical ability to do so, shall file contemporaneously with the original and copies an electronic version of the document, along with any exhibits thereto, in "pdf" format. Persons not possessing the technical ability to file an electronic version shall certify such contemporaneously with the filing of the original hard copy. If a textual, substantive, or other type discrepancy exists between the original hard copy of the document and the electronic version filed, the contents of the original hard copy filed with INTERCOUNTY ELECTRIC shall control.
- (d) Any document required or permitted to be filed under these Rules shall be deemed to be received or filed with INTERCOUNTY ELECTRIC on the date such document actually is received by INTERCOUNTY ELECTRIC during its business hours. Each Filing will be date-stamped to show the date on which the Filing was received by INTERCOUNTY ELECTRIC.
- (e) Every Filing made pursuant to these Rules shall be posted on INTERCOUNTY ELECTRIC's PURPA Website and may be downloaded by any interested Person. Service on other Formal Parties of Record, therefore, is not necessary. Formal Parties of Record not possessing the technical ability to access or download information from the PURPA Website shall certify such inability in writing pursuant to this Rule, whereupon they will be entitled upon request, to receive hard copies of Filings from INTERCOUNTY ELECTRIC.
- (f) Each Filing made pursuant to these Rules shall:
  - (1) Identify the Person on whose behalf it is being filed;
  - (2) Identify that Person's address;
  - (3) Include that Person's INTERCOUNTY ELECTRIC account number, if a member of INTERCOUNTY ELECTRIC;
  - (4) Include the PURPA Identification Number assigned to that Person, if a Formal Party of Record, by INTERCOUNTY ELECTRIC, pursuant to Rule 4 (except that no such identification number shall be required for an initial Notice of Intervention or a written Limited Appearance);
  - (5) Include the signature of that Person or his legal counsel or other authorized representative; and
  - (6) Include a sworn verification by the appropriate Persons of any facts contained therein.
- (g) In computing any period of time prescribed or allowed under these Rules, the first day shall not be counted but the last day shall be counted. If the last day prescribed or allowed for action falls on Saturday or Sunday, or on some other day on which INTERCOUNTY ELECTRIC is closed for business, the Person shall have through the next business day to take the action required or permitted. In all cases, each calendar day shall be included in the computation, regardless of whether it is a Saturday, Sunday or legal holiday (except when the last day falls on a Saturday, Sunday, etc. as provided in the preceding sentence).

## RULE 4. FORMAL PARTIES

- (a) In any proceeding conducted pursuant to these Rules, the Formal Parties of Record to such proceeding shall consist of INTERCOUNTY ELECTRIC Staff and any Person who intervenes in accordance with the provisions of this Rule.
- (b) The following Persons may intervene and participate as Formal Parties of Record as a matter of right in any proceeding conducted pursuant to these Rules:
  - (1) The United States Secretary of Energy;
  - (2) Any affected electric utility;
  - (3) Any electric consumer of an affected electric utility; and
  - (4) A Member of INTERCOUNTY ELECTRIC
- (c) Any Person identified in paragraph (b) above desiring to intervene and participate as a Formal Party of Record shall file a Notice of Intervention, in the manner prescribed by this paragraph, no later than ten (10) days prior to the date of the hearing. The Notice of Intervention shall:
  - (1) Be in writing;
  - (2) Be verified either by the Person desiring to intervene or (if other than a natural person) that Person's authorized representative;
  - (3) Identify the Person desiring to intervene;
  - (4) Set forth with particularity the facts pertaining to his interest; including the specific PURPA Standards to which his intervention relates; and
  - (5) Set forth with particularity the grounds upon which his intervention is based.

A form Notice of Intervention is appended to these Rules and shall be used by Persons desiring to intervene.

- (d) Upon receipt of a Notice of Intervention, INTERCOUNTY ELECTRIC will review such notice to determine:
  - (1) Whether the Person on whose behalf the notice filed is entitled to intervene and participate as a Formal Party of Record; and
  - (2) Whether such notice complies with the requirements of this Rule.

Upon the completion of such review, INTERCOUNTY ELECTRIC will notify the Person filing the Notice of Intervention whether such notice has been accepted or rejected. If accepted, INTERCOUNTY ELECTRIC will assign a PURPA Identification Number to the Person on whose behalf the notice is filed, which identification number shall be included on all subsequent filings made by or on behalf of that person.

- (e) To be entered as a Formal Party of Record, any Person having timely filed a Notice of Intervention shall sign-in during the sign-in portion of the hearing and be present when called by the Moderator to present his or her testimony. See Rule 10, concerning the conduct of hearings.
- (f) Failure of a Person either to file a Notice of Intervention as prescribed by this Rule, or to sign-in and to be present when called by the Moderator to present his or her testimony (as prescribed by Rule 10), shall be deemed an abandonment of such Person's right to intervene and participate as a Formal Party of Record, except in the discretion of the Moderator and for good cause shown.

## **RULE 5. LIMITED APPEARANCE.**

- (a) Any Member of INTERCOUNTY ELECTRIC who is not a Formal Party of Record may nonetheless make a Limited Appearance by making an oral or written statement of his position and views on the issues under consideration, as prescribed by this Rule.
- (b) A Member who is not a Formal Party may make an oral statement of his position and views on the standards being considered by being present at the time(s) stated in the Notice of Hearing for accepting such statements. See Rule 10, concerning the conduct of hearings.
- (c) A Member who is not a Formal Party may make a written statement of his position and views on the standards being considered by filing such statement, in accordance with the provisions of Rule 3, no later than thirty (30) days after the conclusion of the hearing. A form for making a Limited Appearance by written statement is appended to these Rules, which shall be used by Members desiring to make such statements.
- (d) A Member making a Limited Appearance pursuant to this Rule shall have his statement added to the record, but such Member shall not be entitled to receive copies of Filings, orders, or other documents; shall not be entitled to serve or required to answer Requests for Information, except as otherwise provided in Rule 6; and shall not otherwise participate in the proceeding.

## **RULE 6. REQUEST FOR INFORMATION**

- (a) Any Formal Party of Record shall have access, in accordance with the provisions of this Rule, to information available to all other Formal Parties if such information:
  - (1) Is relevant to the issues to which the Party's intervention and participation relates;
  - (2) Is not subject to legally recognized rules of privilege; and
  - (3) Does not pertain to trade secrets or other non-public, proprietary information.
- (b) Every Filing made pursuant to these Rules shall be posted on INTERCOUNTY ELECTRIC's PURPA Website and may be downloaded by any interested Person. Formal Parties of Record not possessing the technical ability to access or download information from the PURPA website shall certify such inability in writing, pursuant to Rule 3, whereupon they will be entitled, upon request, to receive hard copies of Filings from INTERCOUNTY ELECTRIC.
- (c) Any Formal Party desiring to obtain information from another Party, which information is not available on INTERCOUNTY ELECTRIC'S PURPA Website, shall serve upon that Party a request for such information, using the form Request for Information appended to these Rules, no later than twenty (20) days prior to the date of the hearing. A copy of the Request for Information shall be filed with INTERCOUNTY ELECTRIC, pursuant to Rule 3, at the same time it is served on the other Party.
- (d) The Party upon whom a Request for Information is served shall serve a written response within ten (10) days after service of the request. The response need not be filed with INTERCOUNTY ELECTRIC. The response shall state, with respect to each item or category, that inspection or production will be permitted as requested, will be permitted in some other reasonable manner, or will not be permitted because the information requested is not relevant, is subject to some rule of privilege recognized by law, or pertains to a trade secret or other non-public, proprietary information. If inspection or production is not permitted, the Party submitting the request may file a request for a ruling from the Moderator, within five (5) days after receiving the response, as to the relevance, privileged status, or trade secret or other proprietary status of the information, as the case may be. Prior to filing such a request,

however, the filing Party shall confer with the opposing Party in a good faith effort to resolve the matters involved by agreement and without intervention of the Moderator. At the time of filing the request for a ruling from the Moderator, the filing Party shall also file a statement certifying that such conference has occurred and that the effort to resolve the issues by agreement failed. Parties failing to comply with an order of the Moderator as to Requests for Information shall be deemed to abandon their right to intervene and participate as a Formal Party of Record.

- (e) Members making a Limited Appearance pursuant to Rule 5 ordinarily shall not be entitled to serve Requests for Information, but upon petition by such persons, the Moderator, in his or her discretion, may permit such discovery for good cause shown.
- (f) Notwithstanding anything contained herein to the contrary, all Requests for Information, all responses thereto, and any requests for rulings from the Moderator shall be completed no later than five (5) days prior to the date of the hearing.

## **RULE 7. PRE-FILED TESTIMONY**

- (a) The testimony of any witnesses, including a Formal Party, who is to testify in connection with the proceeding must be pre-filed with INTERCOUNTY ELECTRIC at least ten (10) days prior to the date of the hearing, along with any exhibits in support thereof. The pre-filing requirement of this Rule does not apply to witnesses offering only rebuttal testimony pursuant to Rule 10(c).
- (b) Members making Limited Appearances pursuant to Rule 5 shall not be deemed to be witnesses for purposes of the pre-filing requirement. Such Members may make written or oral statements of their positions and views in accordance with the provisions of Rule 5.
- (c) All testimony pre-filed shall conform to the filing requirements set forth in Rule 3.

## **RULE 8. MODERATOR**

- (a) INTERCOUNTY ELECTRIC shall employ a Moderator to preside over the proceedings. The Moderator shall be qualified by knowledge, training, experience, and competence to conduct such proceedings in accordance with these Rules.
- (b) The Moderator shall, with respect to the proceedings, have only the authority to:
  - (1) Administer oaths and affirmations;
  - (2) Rule upon offers of proof;
  - (3) Regulate the course of the hearing in conformity with these Rules;
  - (4) Permit Members to make Limited Appearances as provided in Rule 5 and regulate the taking of such Limited Appearances as provided in Rule 10;
  - (5) Take official notice of judicially recognizable facts;
  - (6) Reprimand or exclude from the hearing any Person for any indecorous or improper conduct committed at or during the hearing;
  - (7) Exercise such other powers necessary for the efficient and expeditious conduct of the hearing, to the end that a complete and orderly record may be developed; and
  - (8) Dispose of requests for rulings on issues pertaining to Requests for Information as provided in Rule 6;
  - (9) Prepare and certify a record of the hearing to the Board; and
  - (10) Prepare and certify a report of the hearing in accordance with paragraph (c). The report shall address such procedural and/or substantive matters as the Moderator believes may

be useful to the Board, but shall not include a recommendation regarding the Board's determination with respect to each PURPA standard

- (c) Within fifteen (15) days after the conclusion for the hearing, the Moderator shall submit to the Board a report of the hearing. Any such report shall also be served upon the Formal Parties of Record, who shall be provided an opportunity to object or comment on same within ten (10) days after the date of the report.

## **RULE 9. ROLE OF BOARD**

- (a) The Board shall be the ultimate decision maker with respect to the determinations required by PURPA.

## **RULE 10. HEARING**

- (a) All Persons desiring to participate in the hearing in any manner must sign-in between 10:00 a.m. and 11:00 a.m. on the date of the hearing. Those signing in shall indicate the nature of their desired participation (i.e., the standard(s) of interest, whether they wish to make a statement of record, and if so, the estimated time required for their statement). At the deadline for signing-in, the Moderator may, in his discretion, determine that a tentative schedule of appearance times be made for the convenience of all concerned. The hearing shall remain open to the public at all times, however, subject only to the Moderator's authority to ensure that the hearing is conducted in a safe, expeditious and reasonable manner.
- (b) At the deadline for signing-in, the Moderator shall call for and enter the names of all Persons having filed timely Notices of Intervention and desiring to be entered as Formal Parties of Record. Failure to be present for and answer such call shall be deemed an abandonment of the right to intervene and participate as a Formal Party. Any Board member shall have the right to attend all or any part of the hearing without filing a Notice of Intervention.
- (c) After the call for an entry of Formal Parties, the Moderator shall accept motions from such Parties to move pre-filed testimony into evidence. It shall not be necessary, or is it expected, that witnesses whose testimony has been pre-filed will appear personally at the hearing to reiterate or summarize that testimony. Personal appearance by such witnesses, except when offering rebuttal testimony in accordance with paragraph (d), shall be allowed, in the discretion of the Moderator, only for good cause shown.
- (d) In addition to pre-filed testimony, any Formal Party may offer at the hearing testimony in direct rebuttal to testimony pre-filed by any other Formal Party. Such rebuttal testimony does not have to be pre-filed and shall not be subject to cross-examination.
- (e) Any Formal Party may also offer at the hearing documentary evidence relevant to the issues under consideration.
- (f) Any Member desiring to make an oral Limited Appearance pursuant to Rule 5 may do so at the place of the hearing at any time prior to the conclusion of the hearing. Depending on the number of persons desiring to speak, the time allotted for accepting Limited Appearances, and other factors affecting the orderliness and efficiency of the hearing, the Moderator may limit the amount of time each Member has to speak, may request that statements not unnecessarily repeat points already made, and may otherwise control and regulate the manner in which the limited appearances are received.

- (g) The hearing shall conclude after all Persons who signed up in a timely manner have been given the opportunity to submit their testimony, rebuttal, or other statements.

## **RULE 11. RULES OF EVIDENCE**

- (a) In the hearing, the Moderator shall not be bound by the strict technical rules of evidence but may exercise such discretion as will facilitate the Board's efforts to ascertain facts bearing upon the right and justice of the matters before it.
- (b) Notwithstanding the foregoing, however, the following criteria should guide the Parties and the Moderator in the conduct of the hearing.
  - (1) Irrelevant, immaterial, or unduly repetitious evidence should be excluded;
  - (2) Rules of privilege recognized by law should be given effect;
  - (3) Official notice may be taken of judicially recognizable facts; and
  - (4) Official notice may be taken of generally recognized technical or scientific facts within the Board's specialized knowledge.
- (c) The Moderator's experience, technical competence, and specialized knowledge may be utilized in the evaluation of evidence and, if necessary, in ruling upon offers of proof.

## **RULE 12. POST-HEARING POSITION STATEMENTS**

Any Formal Party of Record may submit a post-hearing position statement on any matter related to his intervention within ten (10) days after the conclusion of the hearing. Post-hearing position statements shall be limited to five (5) pages. Any response by a Formal Party to a post-hearing position statement of another Party shall be filed no later than ten (10) days after the conclusion of the hearing.

## **RULE 13. RECORD**

A record shall be kept of the proceeding, which shall include:

- (1) All pleadings, notices, requests, pre-filed testimony moved into evidence, post-hearing position statements and any responses thereto, written Limited Appearances, and other Filings.
- (2) A transcript of the hearing, including oral Limited Appearances, transcribed by a certified court reporter;
- (3) A statement of matters officially noticed;
- (4) Questions and offers of proof and rulings thereon; and
- (5) The report submitted to the Board by the Moderator.

## **RULE 14. WRITTEN DETERMINATION**

- (a) The Board will issue its determination as to each PURPA Standard under consideration as soon as reasonably practicable after the close of the record. The determination shall be:
  - (1) In writing;
  - (2) Based upon findings included in such determination and upon the evidence in the record; and
  - (3) Available to the public.
- (b) The determination of the Board shall be signed by the President and the Secretary of the board of INTERCOUNTY ELECTRIC.

- (c) Any member of the Board may file a dissenting opinion, when in the minority of any issue, or his reasons and grounds for his opinion when in the majority.
- (d) Once issued, the Board's determination shall be mailed to each Formal Party of Record and shall be posted on INTERCOUNTY ELECTRIC's PURPA Website for one hundred and eighty (180) days after the date on which it is issued. Hard copies of the determination shall be available to the public, upon request, at INTERCOUNTY ELECTRIC's headquarters during business hours. INTERCOUNTY ELECTRIC's headquarters is located at 102 Maple Avenue, Licking, Missouri 65542, and is open Monday through Friday from 8:00 a.m. to 5:00 p.m. CST.

## **RULE 15. APPLICATION FOR TRANSCRIPT**

- (a) Transcripts of the hearing shall be available to any Formal Party of Record, upon request, at the cost of reproduction.
- (b) Requests for transcripts shall be made on INTERCOUNTY ELECTRIC's form Application for Transcript appended to these Rules and submitted to INTERCOUNTY ELECTRIC in accordance with Rule 3. After receiving an application, INTERCOUNTY ELECTRIC will notify the Party making the request:
  - (1) That the application has been received;
  - (2) Of the cost of reproduction; and
  - (3) How payment may be made to INTERCOUNTY ELECTRIC in advance of the transcript being produced.

INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION  
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## **APPENDIX**

**BOARD RESOLUTION**  
**OF**  
**INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION**  
**2023 PURPA STANDARDS**  
**(DEMAND RESPONSE – EV PROGRAMS)**

**WHEREAS**, the 2021 Infrastructure Investment and Jobs Act (IIJA) requires electric utilities including non-regulated cooperatives with annual retail sales greater than five hundred million kilowatt hours (500M kWh), municipal utilities, and state regulators (for regulated utilities) to consider adopting standards on promoting greater transportation electrification (Section 40431) and utility demand response (Section 40104); and

**WHEREAS**, Intercounty Electric Cooperative Association (the “Cooperative”) is a non-regulated electric utility that meets the volumetric threshold and is therefore covered by the IIJA;

**THEREFORE**, the Board of Directors of the Cooperative hereby adopts the following resolutions:

**RESOLVED**, that the Cooperative shall commence the process of considering the adoption of standards addressing the Public Utility Regulatory Policies Act (PURPA) Section 532 111(d)(20) Demand-Response Practices, and Section 532 111(d)(21) Electric Vehicle Charging Programs (the “Standards”) as required by the Act.

**RESOLVED**, that the General Manager, with the assistance of the Cooperative’s staff, shall research and formulate a position regarding implementation of the Standards, taking into consideration the purposes and goals of the Act, and shall present a position to the Board of Directors on or before the **25th day of August, 2023**;

**RESOLVED**, that the Board of Directors shall convene a public hearing on the proposed Standards and staff’s position on same, on the **19th day of October, 2023**, at 11:00 a.m. according to a published formal notice of public hearing;

**RESOLVED**, that the Board of Directors shall publish notice of the public hearing and staff’s position on the Standards by providing such notice as a bill insert to Cooperative members and at least one newspaper of general circulation no less than thirty (30) days prior to the date set for the public hearing. Said notice shall contain an invitation and deadline for

applications for intervention at said hearing for the purpose of providing oral and written comments regarding the proposed Standard and staff's position on same; and

***BE IT FURTHER RESOLVED***, that the Board of Directors shall conduct the public hearing on the proposed Standards, making a formal record at the hearing, followed by a written determination by the Board of Directors on the proposed Standards on or before **November 17, 2023**.

Dated this 16<sup>th</sup> day of June, 2023.

# INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION PURPA PROCEEDING

## NOTICE OF PURPA HEARING

INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION will hold a hearing to facilitate the consideration and determination of standards established by the Public Utility Regulatory Policies Act of 1978, as amended by the 2021 Infrastructure Investment and Jobs Act.

This hearing is held pursuant to the Public Utility Regulatory Policies Act of 1978, 16 U.S.C. § 2601 *et seq.*, and particularly pursuant to 16 U.S.C. §§ 2621, 2622, 2631 and 2632. The hearing will be held at the INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION Corporate Office in Licking, Missouri, with participant sign-in beginning at 10:00 am and the hearing commencing at 11:00 am on October 19, 2023. The following standards will be considered at the hearing:

1. Demand-Response Practices pursuant to 16 U.S.C. § 2621(d)(20).
2. Electric Vehicle Charging Programs pursuant to 16 U.S.C. § 2621(d)(21).

The hearing will be governed by the “Rules for Conducting Proceedings Required by the Public Utility Regulatory Policies Act of 1978 (as amended)” (the “Rules”), which were adopted by the Board of Directors of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION on August 25, 2023. A copy of the Rules may be obtained at INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION’s headquarters during business hours. The headquarters is located at 102 Maple Ave, Licking, Missouri 65542 and is open Monday through Friday from 8:00 am to 5:00 pm CST. A copy of the Rules also may be downloaded from [www.ieca.coop](http://www.ieca.coop).

In accordance with 16 U.S.C. § 2631, the United States Secretary of Energy, any affected electric utility, any electric consumer of an affected electric utility, or a Member of the Cooperative in good standing has a right to intervene and participate as a formal party of record in the hearing. Please see Rule 4 concerning the procedure for intervention.

Any member of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION that does not desire to intervene and participate as a formal party of record nonetheless will be given the opportunity to make an oral or written statement expressing his or her position and views on the foregoing standards. Please see Rule 5 concerning the procedure for making such statements.

All persons must sign in not later than 11:00 am to ensure that they are able to participate in the hearing. Please see Rule 10 concerning the conduct of the hearing.

###

INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION PURPA PROCEEDING

**NOTICE OF INTERVENTION**

To: Dalton Clayton  
INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION  
P.O. Box 209  
Licking, MO 65542

In accordance with Rule 4 of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION's "Rules for Conducting Proceedings Required by the Public Utility Regulatory Policies Act of 1978 (as amended by the Energy Independence and Security Act of 2007)," I hereby submit this Notice of Intervention, certifying and representing as follows:

1. My name is \_\_\_\_\_, I am submitting this Notice of Intervention on **(circle one)**:

A. My own behalf **OR**

B. On behalf of \_\_\_\_\_

If this Notice of Intervention is being submitted on behalf of another, I certify that I am an authorized representative of said person, duly empowered to submit this Notice of Intervention, to represent said person in connection with the PURPA proceeding to which this Notice of Intervention relates, and to make decisions for such person relating to such proceeding.

2. The address of the Person on whose behalf this Notice of Intervention is being submitted is:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. This Notice of Intervention relates to the following PURPA standards (**check all that apply**):

A. Demand-Response Practices \_\_\_\_\_

B. Electric Vehicle Charging Programs \_\_\_\_\_

4. The following facts pertain to the interest of the person on whose behalf this Notice of Intervention is being submitted, as to the foregoing standards (**submit additional sheets if necessary**)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. The person on whose behalf this Notice of Intervention is being submitted is qualified to intervene and participate in the PURPA proceeding to which this Notice of Intervention relates by virtue of being (**check one**):

- A. The United States Secretary of Energy \_\_\_\_\_
- B. An affected electric utility \_\_\_\_\_
- C. An electric consumer of an affected electric utility \_\_\_\_\_
- D. A Member of Intercounty Electric in good standing \_\_\_\_\_

If B or C, identify the affected electric utility: \_\_\_\_\_

If a member of Intercounty Electric: account number \_\_\_\_\_

6. Submitted and certified to this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Signature



**VERIFICATION**

Personally appeared before me, an officer duly authorized to administer oaths, \_\_\_\_\_, who states under oath that he/she is the person or authorized representative of the person submitting the foregoing Notice of Intervention and that the facts contained within said Notice of Intervention are true and correct to the best of his/her knowledge or belief.

By \_\_\_\_\_

Title: \_\_\_\_\_  
(if applicable)

Sworn to and subscribed before me  
this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public



**FOR USE BY INTERCOUNTY ELECTRIC STAFF ONLY**

Date Notice of Intervention Received: \_\_\_\_\_

Notice of Intervention Accepted or Rejected: \_\_\_\_\_

If rejected, reasons why: \_\_\_\_\_

If accepted, identification number assigned: \_\_\_\_\_

Date notice of acceptance/rejection sent to filer: \_\_\_\_\_

INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION PURPA PROCEEDING

**LIMITED APPEARANCE BY**  
**WRITTEN STATEMENT**

To: Dalton Clayton  
INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION  
P.O. Box 209  
Licking, MO 65542

In accordance with Rule 5 of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION's Rules for Conducting Proceedings Required by the Public Utility Regulatory Policies Act of 1978 (as amended), I hereby submit this Limited Appearance by Written Statement, stating as follows:

1. My name is \_\_\_\_\_, I am submitting this Limited Appearance by Written Statement on **(circle one)**:
  - A. My own behalf                      **OR**
  - B. On behalf of \_\_\_\_\_

If this Limited Appearance by Written Statement is being submitted on behalf of another, I certify that I am an authorized representative of said person, duly empowered to submit this Limited Appearance by Written Statement, and to represent said person in connection with this Limited Appearance by Written Statement.

2. The address of the Person on whose behalf this Limited Appearance by Written Statement is being submitted is:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. This Limited Appearance by Written Statement relates to the following PURPA standards (**check all that apply**):

A. Demand-Response Practices \_\_\_\_\_

B. Electric Vehicle Charging Programs \_\_\_\_\_





INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION PURPA PROCEEDING

**REQUEST FOR INFORMATION**

To: [Insert Formal Party Address; See Rule 6(c)]

\_\_\_\_\_

In accordance with Rule 5 of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION’s Rules for Conducting Proceedings Required by the Public Utility Regulatory Policies Act of 1978 (as amended), I hereby serve upon the aforementioned party this Request for Information, as follows:

1. My name is \_\_\_\_\_, I am submitting this Request for Information on **(circle one)**:

A. My own behalf **OR**

B. On behalf of \_\_\_\_\_

If this Request for Information is being served on behalf of another, I certify that I am an authorized representative of said person, duly empowered to submit this Request for Information, to represent said person in connection with the PURPA proceeding to which this Request for Information relates, and to make decisions for such person relating to such proceeding.

2. The address of the Person on whose behalf this Request for Information is being submitted is:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. I request copies of the following information (listed by item or category) in the aforementioned party’s possession, custody and control (**submit additional sheets if necessary**):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

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4. The purpose(s) for which I request the foregoing information is/are (**submit additional sheets if necessary**):

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5. The information requested and the purpose(s) for which it is sought are relevant to the following PURPA standards (**check all that apply**):

- A. Demand-Response Practices \_\_\_\_\_
- B. Electric Vehicle Charging Programs \_\_\_\_\_

6. The person on whose behalf this Request for Information is being submitted is qualified to intervene and participate in the PURPA proceeding to which this Request for Information relates by virtue of being (**check one**):

- A. The United States Secretary of Energy \_\_\_\_\_
- B. An affected electric utility \_\_\_\_\_
- C. An electric consumer of an affected electric utility \_\_\_\_\_
- D. An Intercounty Electric Member in good standing \_\_\_\_\_

If B or C, identify the affected electric utility: \_\_\_\_\_

If a member of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION, please provide account number:

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7. The person on whose behalf this Request for Information is being served has, filed a timely Notice of Intervention pursuant to Rule 4 of the aforementioned "Rules for Conducting Proceedings Required by the Public Utility Regulatory Policies Act of 1978 (as amended by the

Energy Independence and Security Act of 2007),” and plan(s) to intervene and participate in INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION’s PURPA hearing.

8. My INTERCOUNTY ELECTRIC PURPA identification number is:  
\_\_\_\_\_.

9. I understand that this Request for Information may be denied if the information sought:

- A. Is not relevant to the PURPA standards indicated above;
- B. Is subject to some rule of privileged recognized by law; or
- C. Pertains to trade secrets or other non-public, proprietary information.

10. The information requested will not be used for any illegal or dishonest purpose, or for any purpose other than that specified above.

11. I agree and acknowledge that the party from whom the information is requested will rely on the representations contained in this document in deciding whether to produce such information.

12. **I AGREE AND ACKNOWLEDGE THAT THE USE OF THE REQUESTED INFORMATION IN VIOLATION OF THE REPRESENTATIONS CONTAINED IN THIS DOCUMENT WILL CAUSE IRREPARABLE INJURY, WITHOUT ADEQUATE REMEDY AT LAW, TO THE PERSON SUPPLYING SUCH INFORMATION, AND THAT SUCH PERSON MAY SEEK INJUNCTIVE OR OTHER EQUITABLE RELIEF AGAINST ME (AND/OR THE PERSON ON WHOSE BEHALF THIS REQUEST FOR INFORMATION IS BEING SERVED) TO PREVENT THE INFORMATION FROM BEING USED IN SUCH MANNER.**

13. I agree to reimburse the party from whom the information is requested for copying charges and employee time beyond fifteen (15) minutes required to retrieve, compile and copy the information requested. Copying charges shall be assessed at the rate of \$0.25 per page. Charges for employee time shall be based on the rate of pay for the lowest paid employee authorized to retrieve, compile and copy the information requested.

7. Submitted and certified to this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Signature

**VERIFICATION**

Personally appeared before me, an officer duly authorized to administer oaths, \_\_\_\_\_, who states under oath that he/she is the person or authorized representative of the person submitting the foregoing Request for Information and that the facts contained within said Notice of Intervention are true and correct to the best of his/her knowledge or belief.

By \_\_\_\_\_

Title: \_\_\_\_\_  
(if applicable)

Sworn to and subscribed before me  
this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION PURPA PROCEEDING

**APPLICATION FOR TRANSCRIPT**

To: Dalton Clayton  
INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION  
P.O. Box 209  
Licking, MO 65542

In accordance with Rule 15 of INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION's Rules for Conducting Proceedings Required by the Public Utility Regulatory Policies Act of 1978 (as amended), I hereby submit this Application for Transcript, certifying and representing as follows:

- 1. My name is \_\_\_\_\_, I am submitting this Application for Transcript on **(circle one)**:
  - C. My own behalf                      **OR**
  - D. On behalf of \_\_\_\_\_

If this Application for Transcript is being submitted on behalf of another, I certify that I am an authorized representative of said person, duly empowered to submit this Application for Transcript, to represent said person in connection with the PURPA proceeding to which this Application for Transcript relates, and to make decisions for such person relating to such proceeding.

- 2. My address, or (if different) the address of the person on whose behalf this Application for Transcript is being submitted is:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

- 3. The person on whose behalf this Application for Transcript is being served has filed a timely Notice of Intervention pursuant to Rule 4 of the aforementioned "Rules for Conducting Proceedings Required by the Public Utility Regulatory Policies Act of 1978 (as amended by the Energy Independence and Security Act of 2007)," and was present at, and entered as formal party of record in, the PURPA hearing to which this Application for Transcript relates.

- 4. My INTERCOUNTY ELECTRIC PURPA identification number is:  
\_\_\_\_\_.

- 5. I agree to reimburse INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION for the cost of reproducing the transcript. I understand that INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION, upon receipt of this Application for Transcript, will notify me that the application has been received, inform me of the cost of reproduction, and instruct me as to how I may make

payment of such cost to INTERCOUNTY ELECTRIC COOPERATIVE ASSOCIATION. I agree to make such payment in advance of receiving the transcript.

Submitted and certified to this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Signature

.....  
**VERIFICATION**

Personally appeared before me, an officer duly authorized to administer oaths, \_\_\_\_\_, who states under oath that he/she is the person or authorized representative of the person submitting the foregoing Application for Transcript and that the facts contained within said Application for Transcript are true and correct to the best of his/her knowledge or belief.

By \_\_\_\_\_

Title: \_\_\_\_\_  
(if applicable)

Sworn to and subscribed before me  
this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

## **LIMITED APPEARANCE SIGN-IN**

Please note: the Moderator may limit the duration of each speaker's presentation.

(Please use a second line to provide the following information: the standard(s) of interest, whether you wish to make a statement of record, and if so, the estimated time required for your statement.)

NAME

ADDRESS

ORGANIZATION  
REPRESENTED

1. \_\_\_\_\_

\_\_\_\_\_

2. \_\_\_\_\_

\_\_\_\_\_

3. \_\_\_\_\_

\_\_\_\_\_

4. \_\_\_\_\_

\_\_\_\_\_

5. \_\_\_\_\_

\_\_\_\_\_

6. \_\_\_\_\_

\_\_\_\_\_

7. \_\_\_\_\_

\_\_\_\_\_

## **FORMAL PARTY SIGN-IN**

Please note: the Moderator may limit the duration of each speaker's presentation.

(Please use a second line to provide the following information: the standard(s) of interest, whether you wish to make a statement of record, and if so, the estimated time required for your statement.)

NAME

ADDRESS

ORGANIZATION  
REPRESENTED

1. \_\_\_\_\_  
\_\_\_\_\_

2. \_\_\_\_\_  
\_\_\_\_\_

3. \_\_\_\_\_  
\_\_\_\_\_

4. \_\_\_\_\_  
\_\_\_\_\_

5. \_\_\_\_\_  
\_\_\_\_\_

6. \_\_\_\_\_  
\_\_\_\_\_

7. \_\_\_\_\_  
\_\_\_\_\_